



DPM

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/914,110
Filing Date	February 5, 2002
First Named Inventor	Noubar B. Afeyan
Art Unit	3693
Examiner Name	R. C. Weisberger
Attorney Docket Number	FLG-005

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement and Form PTO/SB/08 <input checked="" type="checkbox"/> Copies of References BB-BT and CAI-CAS <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Certificate of Mailing
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	GOODWIN PROCTER LLP		
Signature			
Printed name	Randall D. Morin		
Date	April 27, 2007	Reg. No.	58,312



Attorney Docket No. FLG-005
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Noubar Afeyan

Confirmation No.: 9343

Application No. 09/914,110

Art Unit: 3693

Filed: February 5, 2002

Title: **METHOD AND SYSTEM CONSTITUTING
A VIRTUAL COLLECTIVE ENTITY FOR
MARKET-EFFICIENT RETAIL
PURCHASES OF GOODS AND SERVICES**

Examiner: Weisberger, Richard C.

INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of cited U.S. patents and U.S. patent applications. In accordance with 37 CFR 1.98(d)(1), Applicant has not submitted copies of the cited foreign patent document numbered BA, or non-patent literature documents numbered CA - CAH, because these documents were previously cited in an application the present application relies on for an earlier effective filing date under 35 USC 120, U.S. Patent Application Serial No.09/255,294. However, Applicant will supply copies of these

documents if requested by the Examiner. Applicants submit herewith copies of the cited foreign patent documents numbered BB - BT and non-patent literature numbered CAI - CAS in accordance with 37 CFR 1.98(a)(2).

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 07-1700, under Order No. FLG-005.

Date: April 27, 2007

Respectfully submitted,

By Randall D. Morin
Randall D. Morin
Registration No. 58,312
GOODWIN PROCTER LLP
Exchange Place
Boston, Massachusetts 02109
(617) 570-1000
Attorney for Applicant



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

				Complete if Known
				Application Number 09/914,110
				Filing Date February 5, 2002
				First Named Inventor Afeyan
				Art Unit 3693
				Examiner Name Weisberger, Richard C.
Sheet:	1	of	4	Attorney Docket Number FLG-005

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5,193,056	03-09-1993	Boes		
AB	5,794,207	08-11-1998	Walker et al.		
AC	5,835,896	11-10-1998	Fisher et al.		
AD	5,855,008	12-29-1998	Goldhaber et al.		
AE	5,794,219	08-11-1998	Brown		
AF	6,023,686	02-08-2000	Brown		
AG	6,047,266	04-04-2000	Van Horn et al.		
AH	6,014,643	01-11-2000	Minton		
AI	5,999,914	12-07-1999	Blinn et al.		
AJ	5,970,470	10-19-1999	Walker et al.		
AK	5,950,176	09-07-1999	Keiser et al.		
AL	5,897,622	04-27-1999	Blinn et al.		
AM	5,758,327	05-26-1998	Gardner et al.		
AN	3,581,072	05-25-1971	Nymeyer		
AO	5,576,951	11-19-1996	Lockwood		
AP	4,949,248	08-14-1990	Caro		
AQ	5,890,137	03-30-1999	Koreeda		
AR	5,913,210	06-15-1999	Call		
AS	5,835,896	11-10-1998	Fisher et al.		
AT	5,727,165	03-10-1998	Ordish et al.		
AU	5,983,199	11-09-1999	Kaneko		
AV	5,956,709	09-21-1999	Xue		
AW	5,915,209	06-22-1999	Lawrence		
AX	5,897,639	04-27-1999	Greef et al.		
AY	5,895,454	04-20-1999	Harrington		
AZ	5,890,138	03-30-1999	Godin et al.		
AAA	5,845,266	12-01-1998	Lupien et al.		
AAB	5,845,265	12-01-1998	Woolston		
AAC	5,842,178	11-24-1998	Giovannoli		
AAD	5,832,459	11-03-1998	Cameron et al.		
AAE	5,826,244	10-20-1998	Huberman		
AAF	5,818,914	10-06-1998	Fujisaki		
AAG	5,809,144	09-15-1998	Sirbu et al.		
AAH	5,806,047	09-08-1998	Hackel et al.		
AAI	5,799,284	08-25-1998	Bourquin		
AAJ	5,797,127	08-18-1998	Walker et al.		
AAK	5,765,143	06-09-1998	Sheldon et al.		
AAL	5,758,328	05-26-1998	Giovannoli		
AAM	5,758,327	05-26-1998	Gardner et al.		
AAN	5,745,882	04-28-1998	Bixler et al.		
AAO	5,724,521	03-03-1998	Dedrick		
AAP	5,712,985	01-27-1998	Lee et al.		
AAQ	5,640,569	06-17-1997	Miller et al.		
AAR	5,377,095	12-27-1994	Maeda et al.		
AAS	4,789,928	12-06-1988	Fujisaki		
Examiner Signature				Date Considered	



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	09/914,110
				Filing Date	February 5, 2002
				First Named Inventor	Afeyan
				Art Unit	3693
				Examiner Name	Weisberger, Richard C.
Sheet	2	of	4	Attorney Docket Number	FLG-005

AAT	4,567,359	01-28-1986	Lockwood	
AAU	6,098,051	08-01-2000	Lupien et al.	
AAV	5,224,034	06-29-1993	Katz et al.	
AAW	5,873,071	02-16-1999	Ferstenberg et al.	
AAX	6,023,685	02-08-2000	Kenton et al.	
AAY	6,067,532	05-23-2000	Gebb	
AAZ	6,085,169	07-04-2000	Walker et al.	
AAAA	6,101,484	08-08-2000	Halbert et al.	
AAAB	5,148,365	09-15-1992	Dembo	
AAAC	5,255,184	10-19-1993	Hornick et al.	
AAAD	5,270,921	12-14-1993	Hornick	
AAAE	5,377,095	12-27-1994	Maeda et al.	
AAAF	5,729,700	03-17-1998	Melnikoff	
AAAG	5,745,882	04-28-1998	Bixler et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ -Number ⁴ -Kind Code ⁵ (if known)				
BA	WO 00/11570		02-03-2000	Accompany Inc.		
BB	WO 00/43929		07-27-2000	iCoop Inc.		
BC	WO 00/43938		07-27-2000	Innovative Concepts Gp Inc.		
BD	WO 00/46727		08-10-2000	Mercata Inc.		
BE	WO 98/10361		03-12-1998	Walker Asset Ltd.		
BF	WO 99/20013		04-22-1999	Walker Asset Ltd.		
BG	WO 99/27476		06-03-1999	The Taylor Trust AS		
BH	WO 99/63461		12-09-1999	Dinwoodie et al.		
BI	WO 00/17797		03-30-2000	Freemarkets Inc.		
BJ	WO 00/19691		04-06-2000	Wireless System Tech.		
BK	WO 00/21013		04-13-2000	Lustig		
BL	WO 00/33271		06-08-2000	Shkedy		
BM	WO 00/36533		06-22-2000	Groves		
BN	WO 00/38093		06-29-2000	Cantor Fitzgerald Securities		
BO	WO 00/39729		07-06-2000	Carlton-Foss		
BP	WO 00/39732		07-06-2000	Automated Trading Sys. Inc.		
BQ	WO 00/41108		07-13-2000	Walker Digital LLC		
BR	WO 00/43928		07-27-2000	iCoop Inc.		
BS	WO 00/45318		08-03-2000	Accompany Inc.		
BT	WO 00/46687		08-10-2000	DealTime.com, Ltd.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	09/914,110
				Filing Date	February 5, 2002
				First Named Inventor	Afeyan
				Art Unit	3693
				Examiner Name	Weisberger, Richard C.
Sheet	3	of	4	Attorney Docket Number	FLG-005

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
	CA	L. Bransten et al., "New Company Aims to Shift IPO Playing Field" <u>The Wall Street Journal</u> , Feb. 8, 1999, page C1				
	CB	Financial Post Investing, "Hambrecht to Start Selling IPOs on the Internet: Dutch Auction Concept", <u>National Post</u> , February 9, 1999, page D01				
	CC	J. Cook, "Mercata, Inc. Unveils Plans for Internet Shopping Site" <u>Journal Business Reporter</u> , February 23, 1999 (as printed from the internet 5/19/99, http://eastsidejournal.comejbiz/archive/nad26995.html)				
	CD	Selected web pages from Mercata, including Premium Brands and About Us, as printed from the internet 5/19/99, http://www.mercata.com				
	CE	Selected web pages from Accompany, including Press Room, Welcome, How It Works, FAQS and Press Releases, as printed from the internet 5/17-19/99, http://www.accompany.com				
	CF	B. Sullivan, "Allen's E-Commerce Vision: Bulk Buying for the Little Guy", May 14, 1999, selected web pages from MSBC				
	CG	Davis, "The Underwriting Underdog," May 1999, p. 100-111, Business 2.0				
	CH	Unknown, "The Level Playing Field," unavailable.				
	CI	Ellis, John, "Greenspan's Fear? Runaway Bills," June 26, 1999, www.boston.com ; <u>The Boston Globe</u>				
	CJ	Machan, Dyan, "An Edison," May 17, 1999; p. 178-185, <u>Forbes</u>				
	CK	Grebb, Michael, "Riding The Buy Cycle," July, 1999; p. 122-130, <u>Business 2.0</u>				
	CL	Matassa, Mark, "Paul Allen's Wired Northwest," www.IntellectualCapital.com ; March 4, 1999				
	CM	Wilcox, Kathleen, "E-Commerce Forces Technology To Engage Customers," www.wsal.org				
	CN	Unknown, "As The Wired World Evolves And Technologies Converge, We Approach Critical Mass," date unknown.				
	CO	Unknown, "Paul Allen's E-Commerce Play: Bring The Buying Club To The Net," <u>BusinessWeek Online</u> , May 13, 1999				
	CP	Woolley, "Price War!", <u>Forbes Magazine</u> , 1-5 (December 14, 1998).				
	CQ	Strang et al., "Scans" Section, <u>Wired</u> , 51, 54, 56, and 58 (September 1995).				
	CR	Rockoff et al., "Design of an Internet-based System for Remote Dutch Auctions", <u>Internet Research: Electronic Networking Applications and Policy</u> , 5(4): 10-16 (1995).				
	CS	Kroll et al., "World Weary Web", <u>Forbes Magazine</u> , 1-3, 1-3, and 1-4 (December 28, 1998).				
	CT	"Multi-Product Delivery System Optimization Procedure", <u>IBM Technical Disclosure Bulletin</u> , 38(4): 501-503 (April 1995).				
	CU	Abstract, <u>World Reporter, Dagens Industri</u> , "Expected Success for Internet Shopping", WL 5900897, (Mar. 12, 1999).				
	CV	Online Document from Ask Reggie Domain Search, Accompany.com Domain contacts, http://www.askreggie.com , printed May 8, 2000.				
	CW	Online Document from Klik-Klok Online Dutch Auction, http://www.klik-klok.com/how.htm , printed, May 8, 2000.				
	CX	Online Document from Franklin Regional Council of Governments, http://www.frcog.or/purch.html , printed May 8, 2000.				
	CY	Online Document from Cooperative Educational Service Agency, http://www.cesa5.k12.wi.us/buying.html , May 8, 2000.				
	CZ	Online Document from Who's Who for The Commerce Standard,				
Examiner Signature				Date Considered		



PTO/SB/08A/B (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	09/914,110
				Filing Date	February 5, 2002
				First Named Inventor	Afeyan
				Art Unit	3693
				Examiner Name	Weisberger, Richard C.
Sheet	4	of	4	Attorney Docket Number	FLG-005

		http://www.gii.com/standard/who/index.html , printed May 8, 2000.	
CAA		Online Document From Bullnet Online Auctions, http://www.bullnet.co.uk/auctions/info.htm , printed May 8, 2000.	
CAB		Online Document, "Management and Specialist Team, LetsBuyIt.com, Sweden", http://www.alumni.se/e-commerce/default.htm , printed May 10, 2000.	
CAC		Online Document from Agorics, Inc., http://www.agorics.com/ , Sections: "A Survey of Auctions," "English Auction," "A Dutch Auction," "The First Price Auction," "The Vickrey Auction," "The Double Auction," "Auction Offshoots," "Auction Strategies," "Auction Histories," "Government Securities-Auctioned Off," "Collusion in Auctions," "Auction Bibliography," Printed May 10, 2000.	
CAD		"New Twist To Online Shopping Service Hopes to Break Customer Apathy", Phillips Business Information Internet Week , 1-2, (May 22, 1995).	
CAE		Emigh, "In year 2020, Auctions & Agents To Rule Web", Newsbytes News Network , 1-2, (March 23, 1998).	
CAF		Abstract, "New Tricks in Pet Supplies"	
CAG		U.S. Provisional Patent Application No. 60/097,932, filed August 25, 1998.	
CAH		U.S. Provisional Patent Application No. 60/097,933, filed August 25, 1998.	
CAI		Hibbard, "Buyer's Market: Companies like CarPoint, Deja.com, and Accompany are bringing power to the people," Red Herring , 146, 148, 150, 152, and 154 (November 1999).	
CAJ		Wit Capital's Digital IPOs, Traders Magazine , V11, n 139, p20+ (June 1998)	
CAK		Online Document: Bringing auction-based IPOs to the Web, http://news.cnet.com/news/0-1007-202-338414.html , printed 01/06/00.	
CAL		Online Document: W.R. Hambrecht To Start Selling IPOs Via Internet, http://www.cba.uiuc.edu/~pellinge/current/2-11-11c.htm , printed 01/06/00.	
CAM		Online Document from Welcome to MacUser, http://www.macuser.co.uk/moredhel/news/32803.html , printed 01/06/00.	
CAN		Online Document from Medpool.com, http://www.medpool.com/in the news invivo.html , printed 01/25/00.	
CAO		Online Document from Fool.com, http://www.scribe.fool.com/Specials/1999/sp990316AboutIPOs02.htm , printed 01/06/00.	
CAP		Selected web pages from Blockbuy, including "You don't have to be a Blockhead," and "Press Release - Blockbuy.com Announces \$1 Million in Private Placement Funding," http://www.blockbuy.com , printed 01/31/00.	
CAQ		Selected web pages from OnlineChoice.com, including "Welcome to OnlineChoice.com," "Online Choices," and "Terms of Use Agreement and About Us," http://www.onlinechoice.com , printed 01/31/00.	
CAR		Selected web pages from ElectricityChoice.com, http://www.electricitychoice.com , printed 01/31/00.	
CAS		Bailey et al. (Spring 1997) "An Exploratory Study of the Emerging Role of Electronic Intermediaries" Intl. J. Electronic Commerce 1(3): 7-20.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--



APR 30 2007

PTO/SB/92 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 09/914,110

Attorney Docket No.: FLG-005

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on April 27, 2007
Date

Signature

Randall D. Morin

Typed or printed name of person signing Certificate

58,312
Registration Number, if applicable

(617) 570-1657
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Transmittal Form (1 page)
- Information Disclosure Statement (2 pages)
- PTO Form PTO/SB/08A/B (4 pages)
- Copies of References BB-BT and CAI-CAS
- Postcard